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2173
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January 25, 2002

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Catherine J. Winter, Esq.
Patent Counsel
General Electric Company
International Patent Operation
3135 Easton Turnpike
Fairfield, CT 06431

Re: U.S. Patent Applications

**For METHODS AND SYSTEMS FOR PERFORMING A
CONTROLLED SEARCH**

Attorney Dkt. No.: 17652-00008

**For: WEB-BASED METHOD AND SYSTEM FOR PROVIDING
EXPERT INFORMATION ON SELECTED MATTERS**

Attorney Dkt. No.: 17652-00013

Dear Catherine:

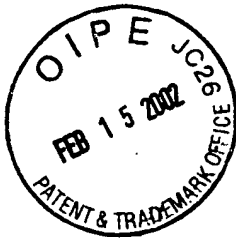
Enclosed is a copy of the Information Disclosure Statements recently filed with the USPTO in connection with the above referenced GE dockets.

Please call me if you have any questions or concerns.

Sincerely yours,

Patrick W. Rasche

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Enclosures



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Atty Dkt. No.: 17652-00013

Application of: Venkatesh et al.

Serial No. 10/020/365

Filed: October 30, 2001

For: WEB-BASED METHOD AND SYSTEM FOR PROVIDING EXPERT
INFORMATION ON SELECTED MATTERS

Enclosed:

Form 1449 Information Disclosure Statement (1pg.) with attachments (AA-AD)

Information Disclosure Statement Transmittal Form (2pgs.; in triplicate)

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Mailed: January 24 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Venkatesch et al.

Serial No. 10/020,365

Filed: October 30, 2001

For: WEB-BASED METHOD AND
SYSTEM FOR PROVIDING EXPERT
INFORMATION ON SELECTED
MATTERS

Group Art Unit:

Examiner:



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CERTIFICATE OF MAILING

I certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on 1-24, 2002.

Daniel M. Fitzgerald
Daniel M. Fitzgerald, Reg. No. 38,880

INFORMATION DISCLOSURE STATEMENT

1. ☒ Pursuant to 37 CFR 1.97(b)
[within 3 months of filing or prior to 1st Office Action]
2. ☐ Certification Pursuant to 37 CFR 1.97(c)
[before Final Office Action or Allowance]
3. ☐ Fee Payment Pursuant to 37 CFR 1.97(c)
[before Final Office Action or Allowance]
4. ☐ Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97 (d)
[before Issue Fee payment]

Hon. Commissioner for Patents
Washington, D.C. 20231

Sir:

The following are submitted in the above identified application in compliance with 37 CFR 1.97 & 1.98:

5. ☒ A list of documents on form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed herewith.

This Paper is submitted in accordance with:

6. ☒ 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]
7. ☐ 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and

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PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: US DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Complete if Known	
		Application Number	10/020,365
		Filing Date	October 10, 2001
		First Named Inventor	Sambedu Venkatesh
		Group Art Unit	
Examiner Name			
Attorney Docket Number	17652-00013		
Sheet	1	of	1



U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant Of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	AA	5,388,189		Kung	February 7, 1995	
	AB	5,337,320		Kung	August 9, 1994	
	AC	5,295,230		Kung	March 15, 1994	
	AD	5,159,685		Kung	October 27, 1992	
	AE					
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FOREIGN PATENT DOCUMENTS								
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		Office ³	Number ⁴	Kind Code ⁵ (if known)				
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	FB							
	FC							
	FD							
	FE							
	FF							
	FG							

Examiner Signature	Date Considered:
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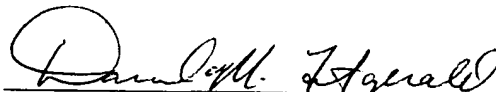
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See Attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WSIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

8. ☐ The required certification made in item 11 below; or
9. ☐ The \$180 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.
10. ☐ 37 CFR 1.97(d): [before issue fee payment]; and
- ☐ a) This is a petition for consideration of the subject Information Disclosure Statement. The petition fee (\$130.00) required by 37 CFR 1.17(i)(1) is authorized in item 14 below. (Direct this letter to: "Attention GROUP DIRECTOR, and if applicable include batch locator information: e.g., "Allowed Files, Batch _____, Date of Allowance _____"), and
- ☐ b) The required Certification is stated in item 11 below.
11. ☐ Certification
12. ☐ Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
13. ☐ No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.
14. ☒ Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 01-2384. An original and two copies of this document is enclosed.

Respectfully submitted,



Daniel M. Fitzgerald, Reg. No. 38,880
Armstrong Teasdale LLP
One Metropolitan Square, Suite 2600
St. Louis, MO 63012
(314) 621-5070

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Atty Dkt. No.: 17652-00008

Application of: Grewal et al.

Serial No. 10/021,474

Filed: October 30, 2001

For: METHODS AND SYSTEMS FOR PERFORMING A CONTROLLED SEARCH

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